

ARC
of
Ohio

June 24, 2022



Protecting the health and welfare of individuals supported in Ohio is of the utmost importance. In that regard, Ohio works diligently to build collaborative working relationships with service delivery partners focusing on reporting, investigation and prevention.

The critical incident reporting system in Ohio (MUI System) is a robust, quality improvement process that counts on participation from individuals, families, providers, county boards and other constituents. Working together we can provide services that allow individuals to enhance quality of life outcomes while managing risk and protection from harm. Thank you for the work you do and the lives you change every day.

The Reality

- People with cognitive disabilities were four times more likely to be victims of violent crime than the general population.
- Nearly 1 in 5 violent crime victims with a disability believed that they became a victim because of their disability.
- Females with a disability had a higher victimization rate than males with a disability.

It's More Than a Critical Incident
Report System...It's a
Health and Welfare Quality
Improvement Process

Ohio's Developmental Disabilities System



- Serve approximately 96, 000 individuals in a variety of settings
- About 70% of those supported live with a family member
- Services range from employment services, Service and Support Administration supports, residential services, transportation and much more.

Ohio's Support System

Providers

- Take immediate actions to protect individuals from further harm when incidents occur in their setting.
- Report possible incidents to the County Board of DD
- Notify the police immediately when there is an alleged crime.
- Report all other MUIs within 24-hours of awareness.
- Implement preventive measures to reduce the likelihood of similar incidents occurring.
- Other duties such as analysis, unusual incident logs, training

County Boards

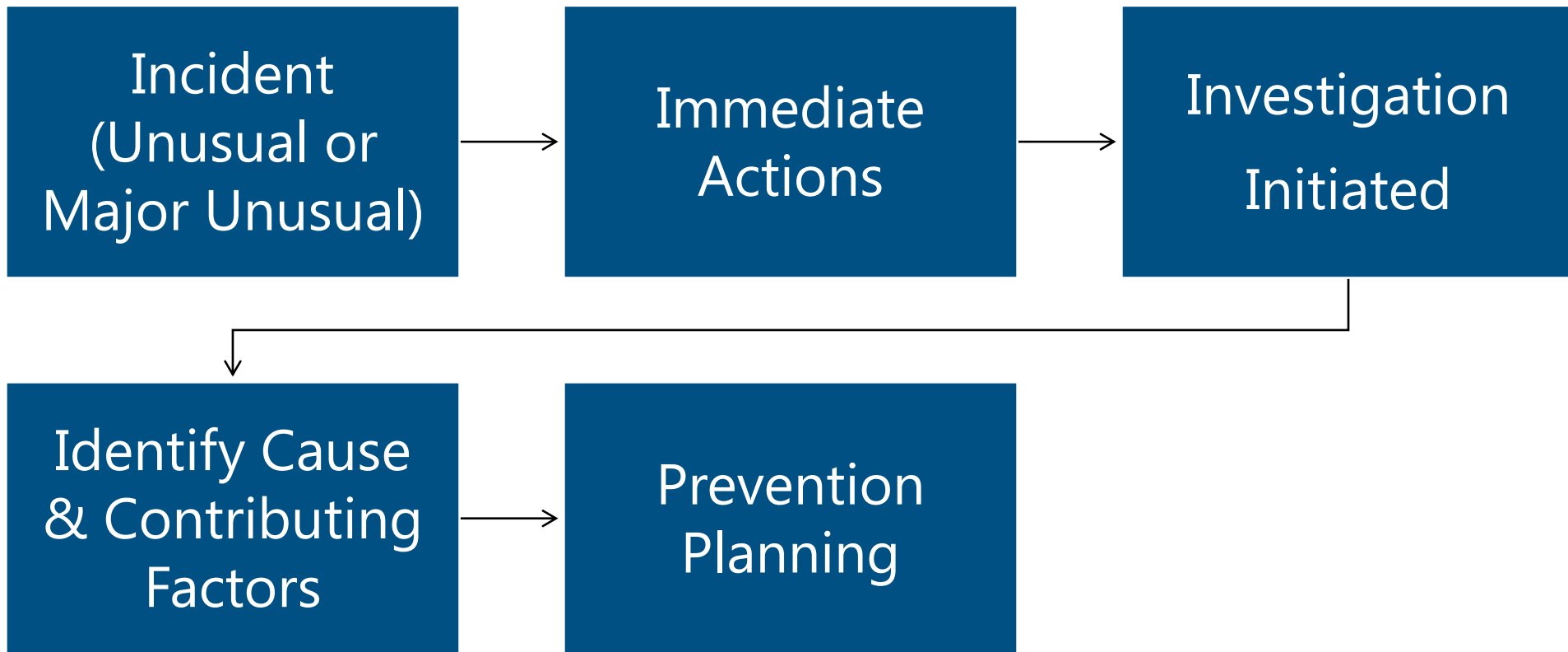
- Provides a 24 hour on-call system
- Investigative Agents (IA) conduct MUI investigations
- Coordinate with local law enforcement and other agencies
- Ensure notifications made
- Provide training and technical consultation to providers
- Review providers unusual incident log
- Conduct County-wide Analysis
- Hold annual Stakeholders

Ohio's Support System

DODD's Oversight:

- Incident Tracking System (ITS)
- Intake
- Regional Managers
- Investigators
- Abuser Registry
- Providing Ongoing Statewide MUI Trainings
- Abuser Registry Committee Meetings
- Centralized Complaint Hotline
- Issuing Health and Safety Alerts
- Providing Information Notices to Stakeholders
- Conducting Statewide Mortality Review Meetings
- Steering Statewide Patterns and Trends Meeting
- Responding to public record requests
- Attending Stakeholder Meetings on request

Ohio's Health and Welfare System



What is a Major Unusual Incident (MUI)?

- MUI means the alleged, suspected, or actual occurrence of an incident when there is reason to believe the incident has occurred.
- There are 19 categories.
- These are administrative investigations.



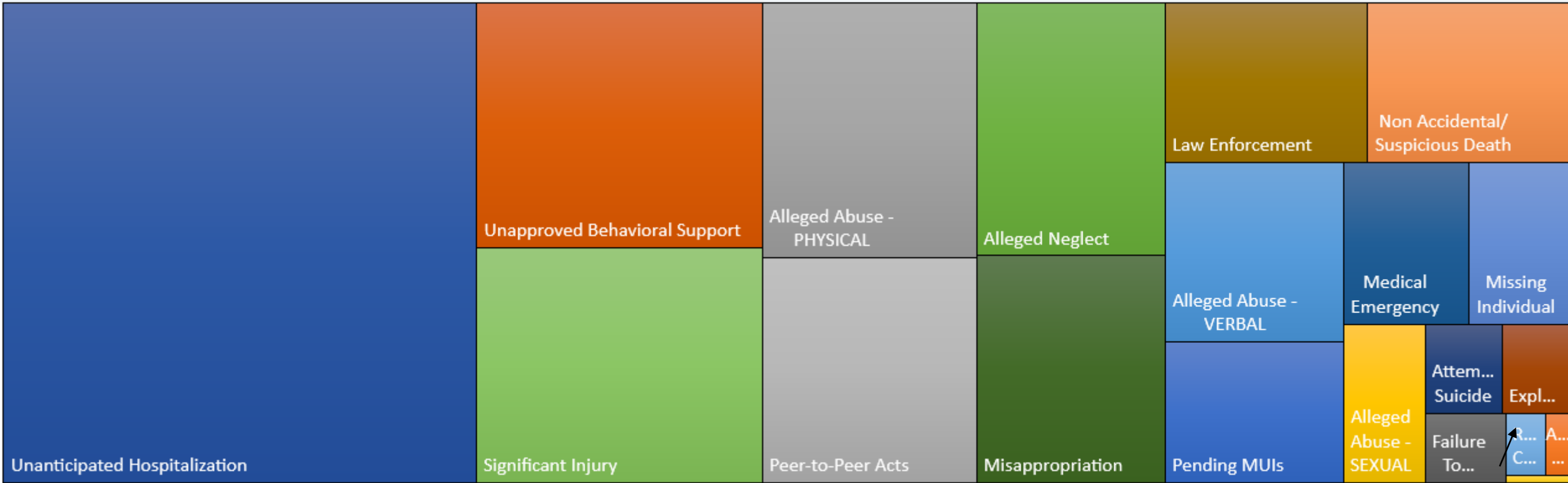
19 Types of MUIs:

Appendix A: Accidental or Suspicious Death, Exploitation, Failure To Report, Misappropriation, Neglect, Physical Abuse, Prohibited Sexual Relations, Rights Code, Sexual Abuse and Verbal Abuse

Appendix B: Attempted Suicide, Death other than an accidental or suspicious, Medical Emergency, Missing Individual, Peer to Peer Act, and Significant Injury.

Appendix C: Law Enforcement, Unanticipated Hospitalizations, and Unapproved Behavioral Supports.

2021 County by Category MUI Allegations



- Pending MUIs
- Alleged Abuse - VERBAL
- Failure To Report
- Missing Individual
- Rights Code Violation
- Accidental/Suspicious Death
- Alleged Neglect
- Law Enforcement
- Non Accidental/Suspicious Death
- Significant Injury
- Alleged Abuse - PHYSICAL
- Attempted Suicide
- Medical Emergency
- Peer-to-Peer Acts
- Unanticipated Hospitalization
- Alleged Abuse - SEXUAL
- Exploitation
- Misappropriation
- Prohibited Sexual Relations
- Unapproved Behavioral Support

Prohibited Sexual Relations

Physical Abuse

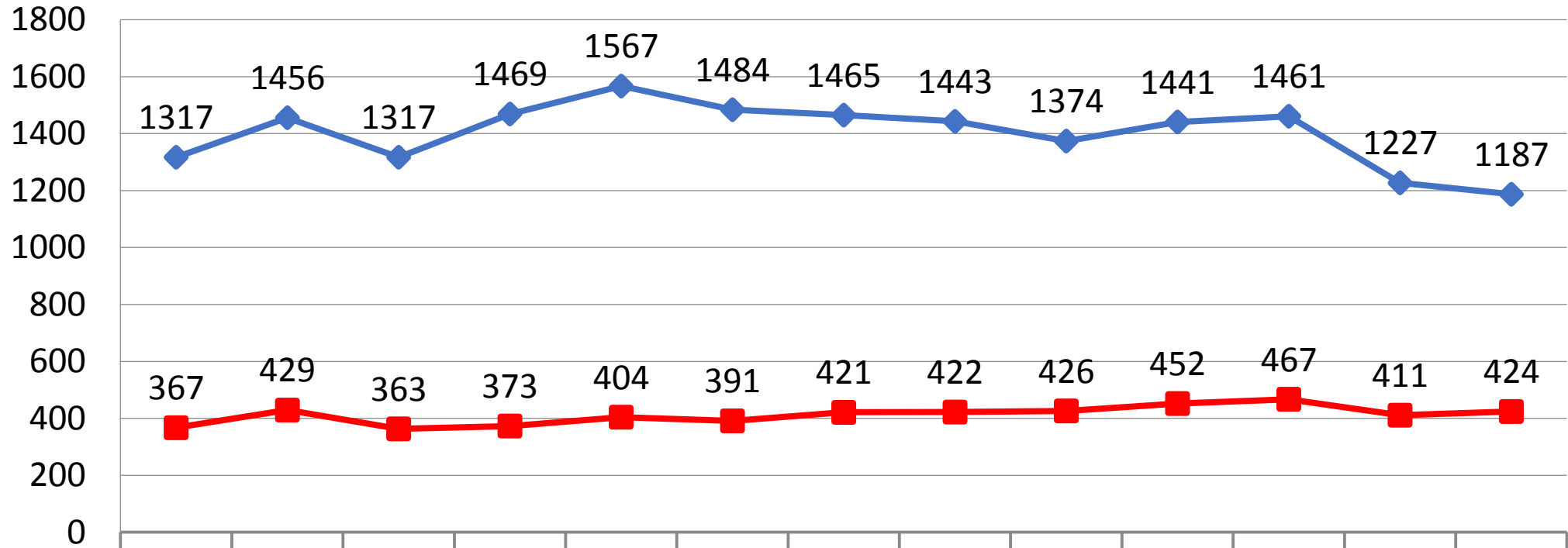
Physical force can reasonably be expected to result in harm. Examples: Hitting, slapping, pushing, dragging or throwing an object when the allegation indicates that it could reasonably result in harm.

Cause/Contributing Factors:

- Control
- Unrealistic expectations and retaliation
- Care giver burn out and exhaustion
- Scheduled excessive hours
- Lack of coping skills
- Ineffective training to deal with aggression

2021 MUI Data		
PPI Breakdown		
Total Allegations	1,187	
Total Substantiated	424	
Percent Substantiated	36%	
Description	Sub #	Sub %
Family	84	20%
Guardian	13	3%
Other	145	34%
Payee	0	0%
Paid Supports	138	33%
Unknown	44	10%

Physical Abuse Data Analytics



	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021
◆ Allegation	1317	1456	1317	1469	1567	1484	1465	1443	1374	1441	1461	1227	1187
■ Substantiated	367	429	363	373	404	391	421	422	426	452	467	411	424

Sexual Abuse

- Unlawful sexual contact
- Unlawful sexual conduct
- Public indecency, voyeurism, importuning, etc.

Contact involves touching of an erogenous zone of another, including without limitation the thigh, genitals, buttock, pubic region, or, if the person is a female, a breast, for the purpose of sexually arousing or gratifying either person. Conduct includes oral sex or penetration including digital or with objects.

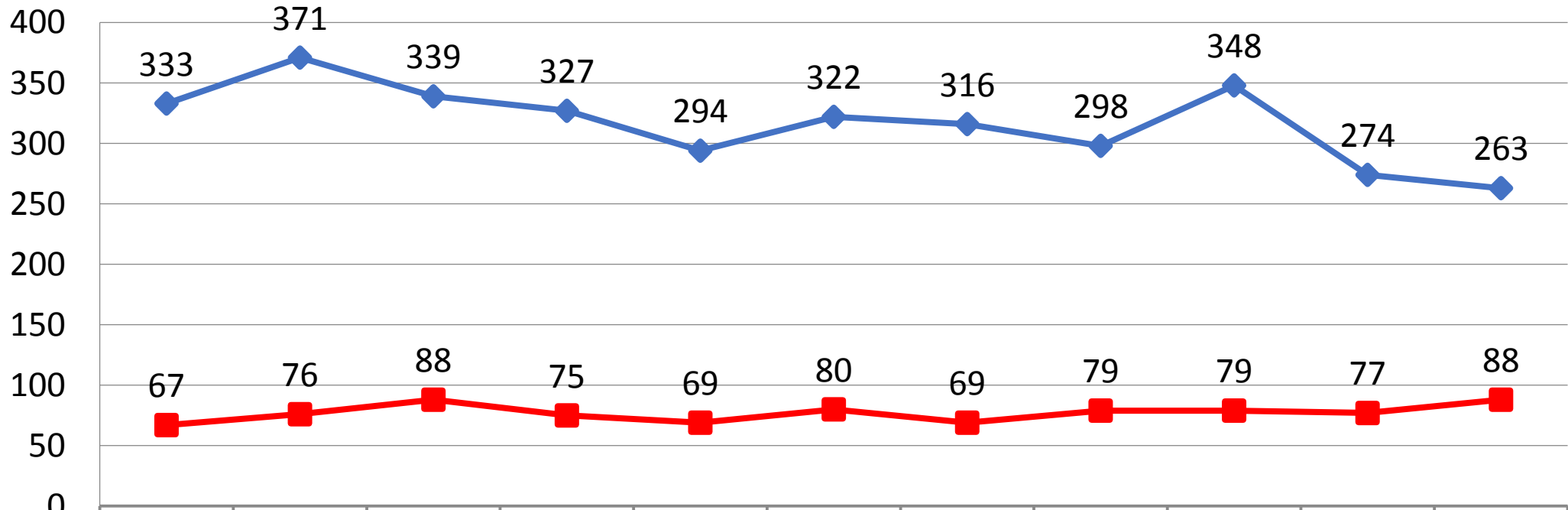
Causes and Contributing Factors:

- Power
- PPI was a victim of sexual abuse

2021 MUI Data		
PPI Breakdown		
Total Allegations	263	
Total Substantiated	88	
Percent Substantiated	33%	
Description	Sub #	Sub %
Family	21	24%
Guardian	0	0%
Other	46	52%
Payee	0	0%
Paid Supports	7	8%
Unknown	14	16%

Sexual Abuse MUIs decreased 21% in 2020.

Sexual Abuse Data Analytics



	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021
◆ Allegation	333	371	339	327	294	322	316	298	348	274	263
■ Substantiated	67	76	88	75	69	80	69	79	79	77	88

Investigations

1. Focus on outcomes
2. Identify root cause and other contributing factors to aid in developing a prevention plan that addresses:
 - How can we decrease the chances of this incident occurring again?
 - How can we prevent injury for all involved?
 - Improve outcomes for individuals served

Investigations

- IAs are trained and certified, in accordance with the requirements listed in ORC §5126.221 and have expertise and knowledge regarding the service system and working with individuals with disabilities.
- IAs conduct interviews, photograph the scene of the incident, review documentation, and identify causes and contributing factors relevant to the allegation.

Investigations

- IAs enter information obtained from their investigation into a computerized database, which is maintained by DODD.
- DODD monitors the IA's investigation, ensures that timelines are met, and confirms that a prevention plan is created to address the safety needs of the victim with a disability.

Abuser Registry

- Established under Ohio law, the Abuser Registry lists the names of people who have committed acts of abuse, neglect, misappropriation, failure to report, and/or prohibited sexual relations. People who have committed a registry offense are prohibited from working with people with developmental disabilities for a minimum of one year.
- Prevents persons who were DD employees from working in this field.
- There are currently 1,173 people placed on the Abuser Registry.

The Abuser Registry is one-way Ohio protects its most vulnerable citizens.

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DODD MUI Office (614)995-3810



County Board Reporting Lines

<http://dodd.ohio.gov/contactus/Pages/CountyMaps.aspx>

Abuse and Neglect Hotline

866-617-6733

DODD MUI Office
614-995-3810

www.dodd.ohio.gov